



Astro 500

*Techniques of Modern
Observational Astrophysics*

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Lecture Outline

Spectroscopy from a 3D Perspective

- ✓ Basics of spectroscopy and spectrographs
- ✓ Fundamental challenges of sampling the data cube
- Approaches and example of available instruments
 - I: Grating-dispersed spectrographs ← a lot of material
 - II: Fabry-Perot interferometry
 - III: Spatial heterodyne spectroscopy

Approaches

Examples of available instruments

- ✓ Grating-dispersed spectrographs

- ✓ basic spectrograph design

- ✓ dispersive elements

- Long-slit spectrographs

- o General Observing Considerations

- Double spectrographs + ADC's

- Multi-objects spectrographs: slitlets vs fibers

- Echelle spectrographs

- 3D spectroscopy: coupling formats and methods

- o Fiber

- o Fiber+lenslet

- o Slicer

- o Lenslet

- o Filtered multi-slit

- summary of considerations

- sky subtraction

Grating-dispersed spectrographs

dispersive elements - *recap*

- **Implications for resolution, band-pass, efficiency, in instrument design**
 - transmission vs reflection:
 - VPH transmission gratings preferred because of higher throughput, large size, customization, and resulting compact spectrograph geometry (minimize vignetting, maximize throughput).
 - Novel, efficient modes possible: grism, double-gratings, notch gratings.
 - requires articulating camera - not always possible or practical.
 - VPH grisms an improvement if no articulation.
 - For the highest resolutions, high density VPH gratings may compete with echelles; double VPH gratings certainly will.
 - Echelle gratings get best combination of high dispersion and large anamorphic factors: better at packing spectral resolution elements on the detector, at the cost of less-compact (lossy) geometry and/or the need for larger optics.
 - white pupil designs ameliorate some of these problems.
 - *No free lunch!*

Grating-dispersed spectrographs

basic spectrograph design

- **Grating equation**

$$m \lambda = \sigma (\sin \beta + \sin \alpha)$$

(reflection)

σ is groove separation (nm)

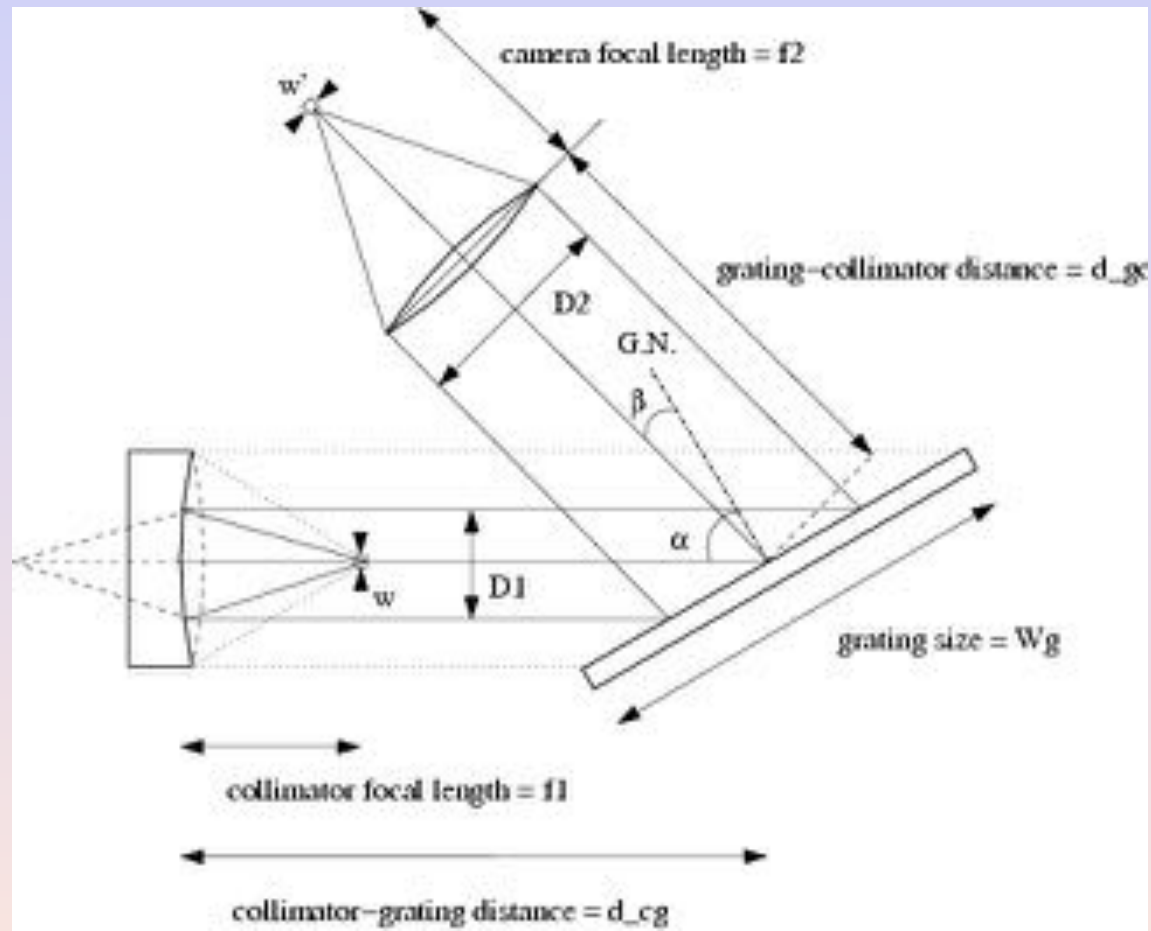
- **Angular dispersion**

$$\gamma = d\beta/d\lambda = m / \sigma \cos \beta$$

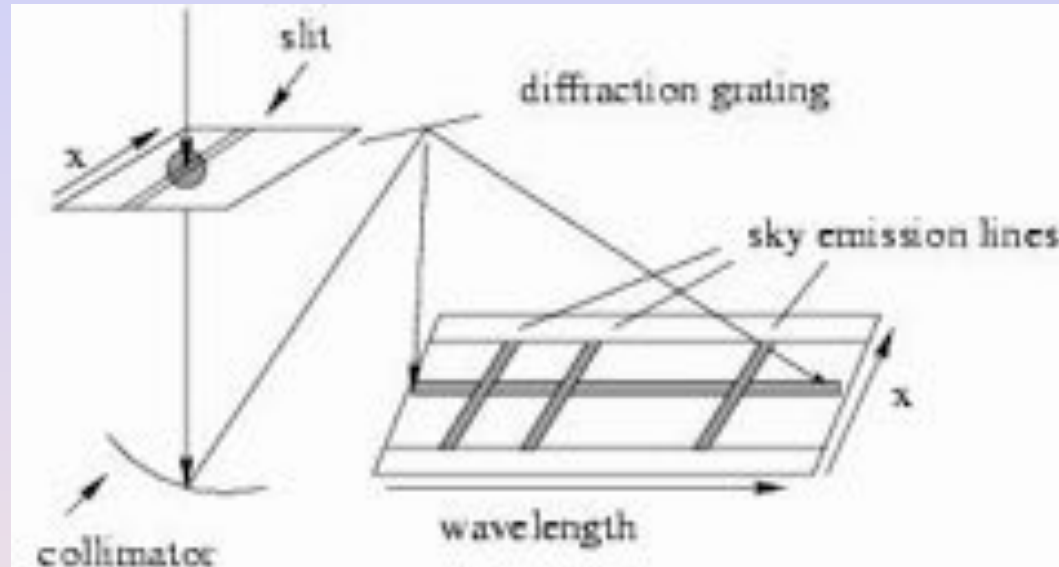
$$= (\sin \beta + \sin \alpha) / \lambda \cos \beta$$

- **Linear dispersion**

$$dx/d\lambda = f_2 \gamma$$

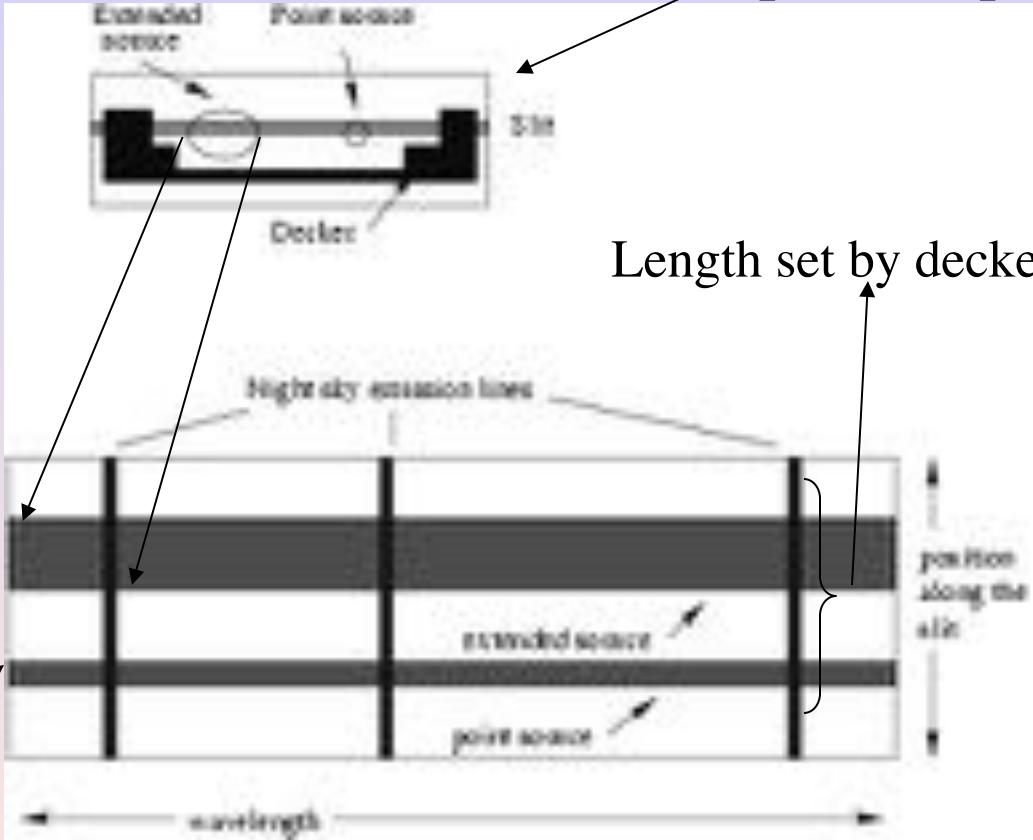


Long-slit Spectra Geometry



In the *camera* focal plane there is the *dispersion direction* perpendicular to the slit and the *spatial direction* along the slit.

in telescope focal plane



Length set by dekker

seeing disk

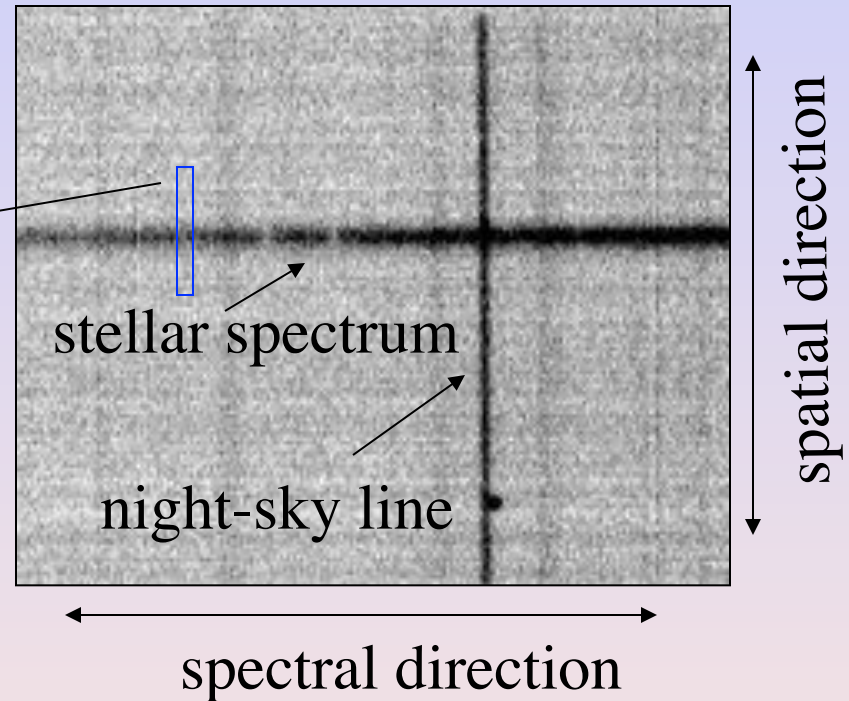
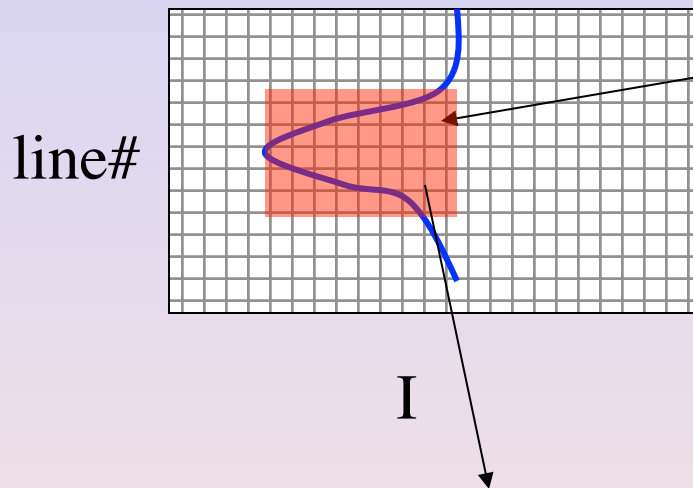
General Spectrometer

Observing Considerations

- On-chip binning
- S/N
- Order-blocking
- Atmospheric dispersion
- Resolution and slit-width

On-chip Binning

- On-chip binning:

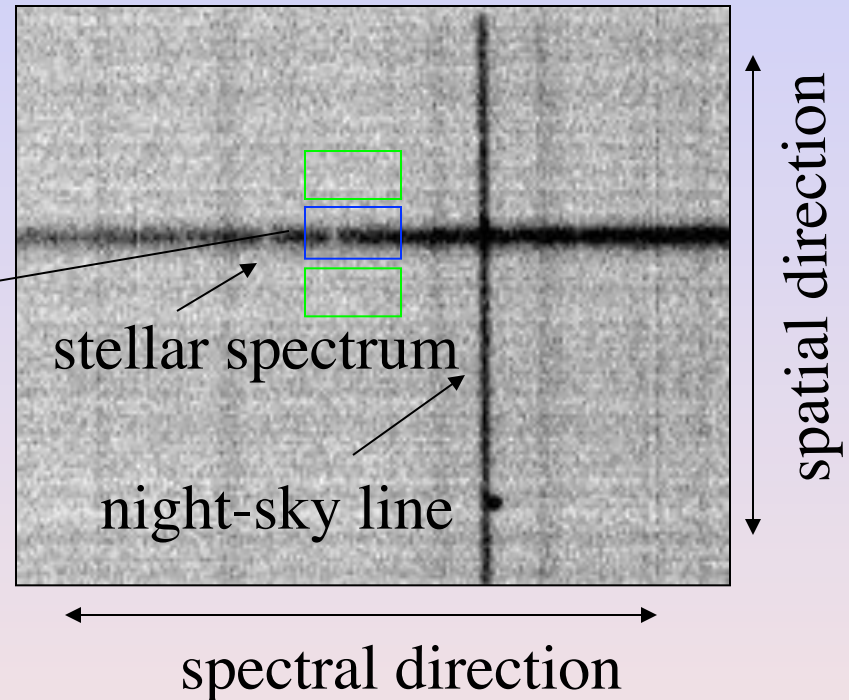
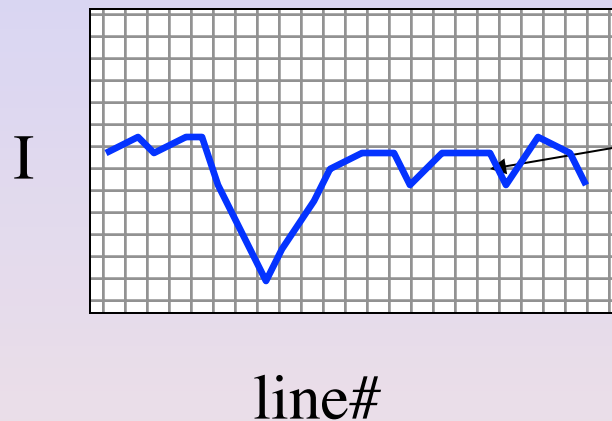


You are going to sum over these lines in the extraction anyway. On-chip binning will reduce $RN \times \#pixels$

For LRIS-B, 0.15 arcsec/pixel in the spatial direction

S/N for Spectral Observations

- On-chip binning:



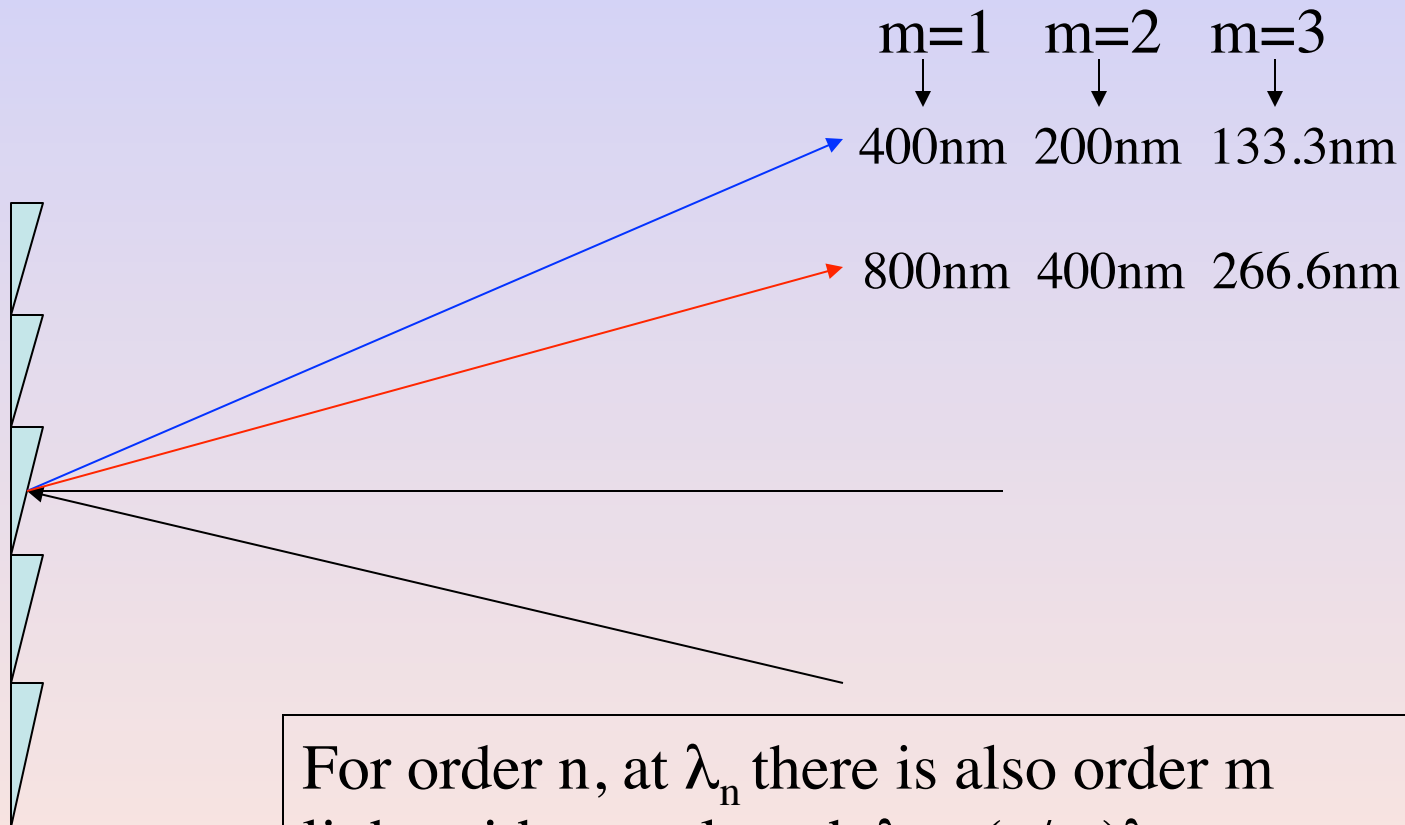
$$S_{\text{spectral pixel}} = \sum_{\text{lines}} R_{\text{object}} \times t$$
$$N_{\text{spectral pixel}} = \sum_{\text{lines}} \left[(R_{\text{object}} \times t) + (R_{\text{sky}} \times t) + RN^2 \right]^{\frac{1}{2}}$$

- In the *spectral direction*, binning can reduce spectral resolution. If the FWHM of arc-lamp lines ≥ 5 pixels, you can start to think about binning. *But ...*
- Lots of time you are interested in accurate line centers and higher moments of the spectral line profiles in which case, well sampled features are a good idea.

S/N for Spectral Observations

- Often sum counts again in the spectral direction to determine $S/N_{\text{resolution element}}$.
- Note! Assumes sky noise is at the shot noise limit. Imperfectly modeled and subtracted sky lines are worse than this.
- For spectra the S/N usually varies considerably with wavelength:
 - Absorption, emission, continuum
 - Sky lines
 - System efficiency with wavelength

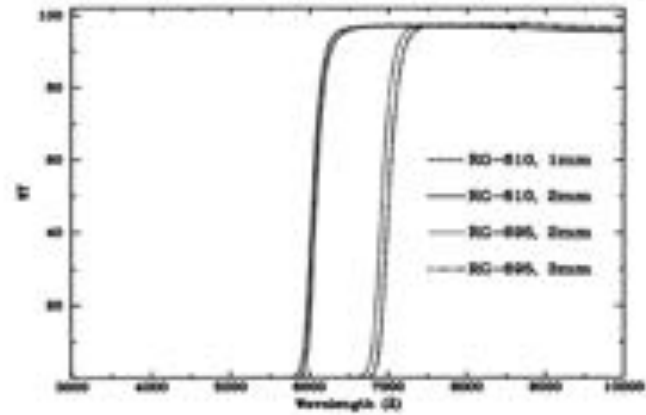
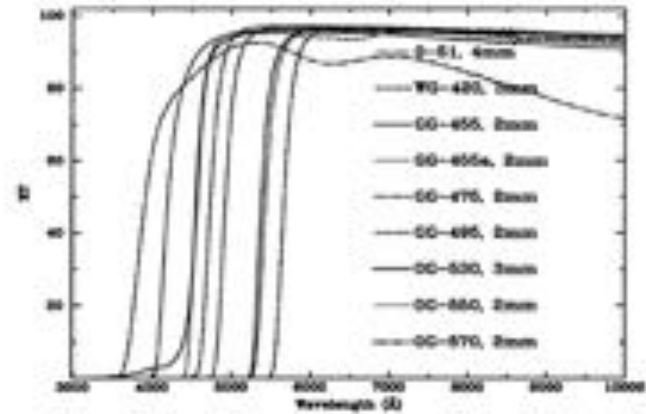
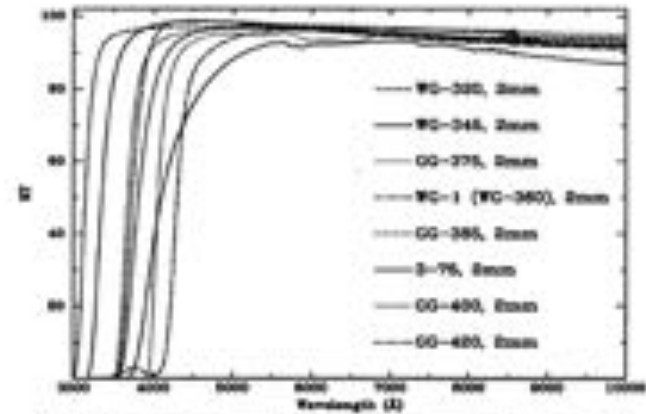
Orders and blocking filters



For order n , at λ_n there is also order m light with wavelength $\lambda_m = (n/m)\lambda_n$

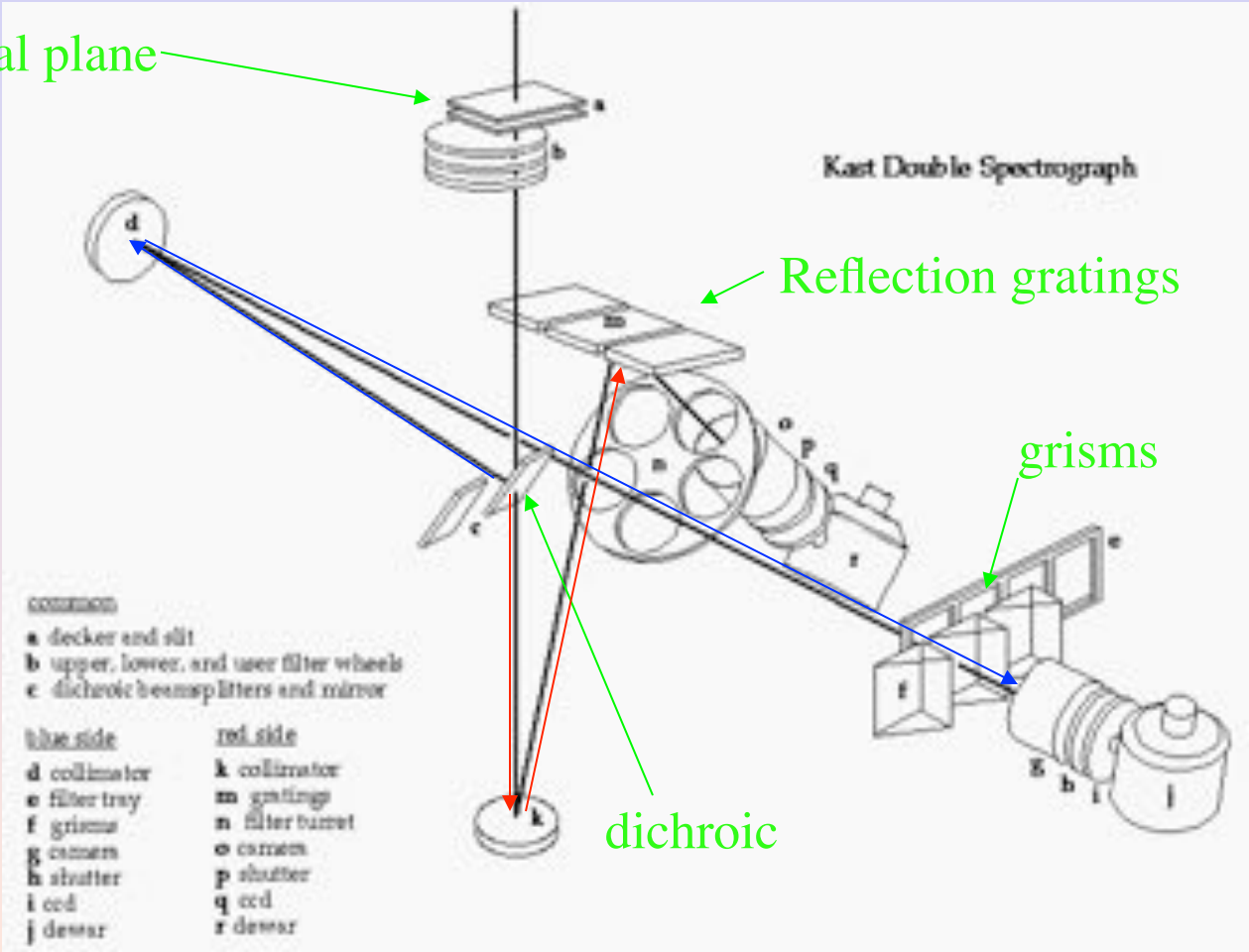
- For higher orders with $\lambda < 310\text{nm}$ it's not an issue as the atmosphere cuts out all the light (can still be an issue for calibration sources).
- But, if you are working in the red ($>640\text{nm}$) in 1st order, you need to block the 2nd order light.
- If you are working in a higher order, may need to block red light from lower orders.

KPNO 2.1m Goldcam blue blocking filters



Dichroics and Double Spectrometers

Telescope focal plane



Spectral Resolution

- $R = \lambda / \Delta\lambda$
- For slit spectra, depends on slit width and grating choice.

What is the effective slit-width of a circular fiber?

What is the effective slit-width of a tilted slit?

LRIS (Keck Obs WWW page)

Typical information provided:

Grating Name	Grooves (l/mm)	Blaze Wave (Å)	Dispersion (Å/pix)	Spectral coverage (Å/2048 pix)
150/7500	150	7500	4.8	9830
300/5000	300	5000	2.55	5220
400/8500	400	8500	1.86	3810
600/5000	600	5000	1.28	2620
600/7500	600	7500	1.28	2620
600/10000	600	10000	1.28	2620
831/8200	831	8200	0.93	1900
900/5500	900	5500	0.85	1740
1200/7500	1200	7500	0.64	1310

What order?

What else do you need to know in order to calculate resolution?

Spectral Resolution

- Examples:
 - V filter: $5500\text{\AA}/1000\text{\AA} = 5.5$
 - LRIS-R: 1" \sim 4 pixels FWHM
 - o 150 l/mm grating: $R \sim 6500/20 \sim 325$
 - o 600 l/mm grating: $R \sim 6500/5 \sim 1300$
 - o 1200 l/mm grating: $R \sim 6500/2.6 \sim 2600$

Spectrometer Throughput

- Spectrometer throughput ranges from a few percent to ~50%. The losses accumulate fast. Dispersing elements are usually a big hit, then the losses at multiple surfaces go like $(\text{transmission})^n$ where n is the number of surfaces in the collimator and camera elements (n can be pretty big).

$$0.98^8 * 0.7 * 0.8 = 0.47$$

Camera/coll
with 8 surfaces

grating

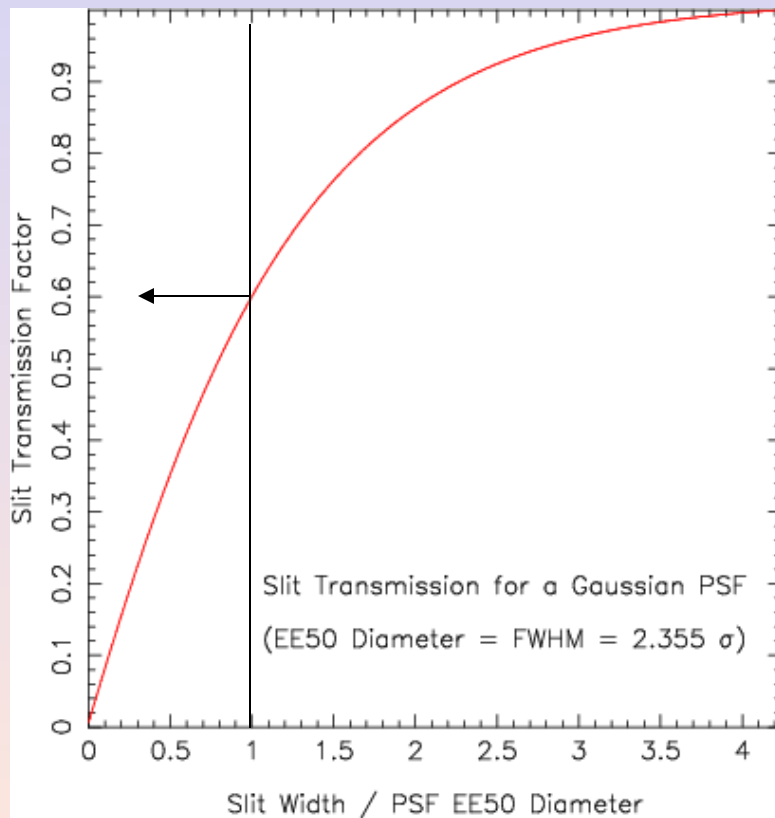
ccd

(often more)

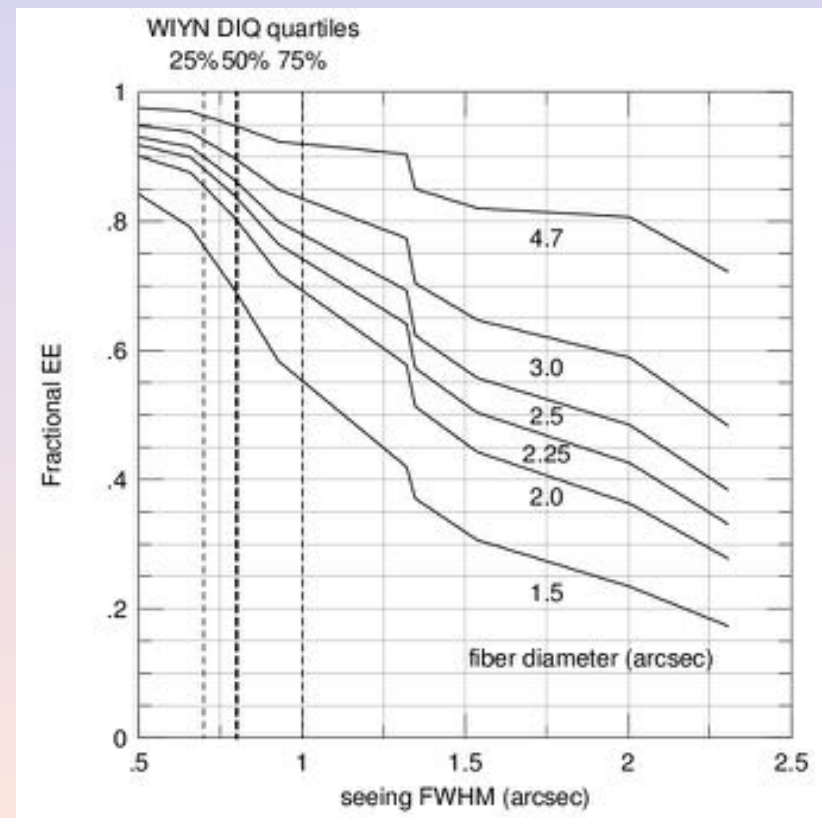
What's missing?

Slit Losses

Another throughput issue: slit losses can be very significant!



Applies to fibers too.



Other Losses

- Lens absorption (particularly in blue/NUV)
- Beam over-fill or blockage (vignetting)
- Fiber losses (transmission and surfaces)
- Slicer losses (optical surfaces)
- Telescope losses (mirrors)
- ADC losses
- Atmospheric absorption

Other???

Atmospheric dispersion

- Differential Atmospheric Dispersion (Filippenko, 1982, PASP, 94 715)
- Dispersion in the atmosphere causes chromatic distortion of images that gets larger at blue wavelengths at fixed airmass and larger with airmass at fixed central wavelength.

$$\Delta\theta = 206265 \times [(n_{\lambda_1} - 1) - (n_{\lambda_2} - 1)] \times \tan(ZD)$$

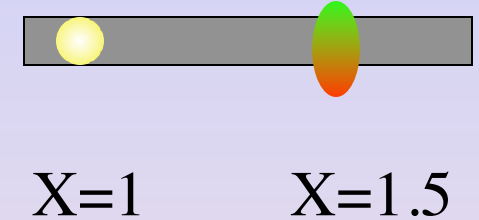
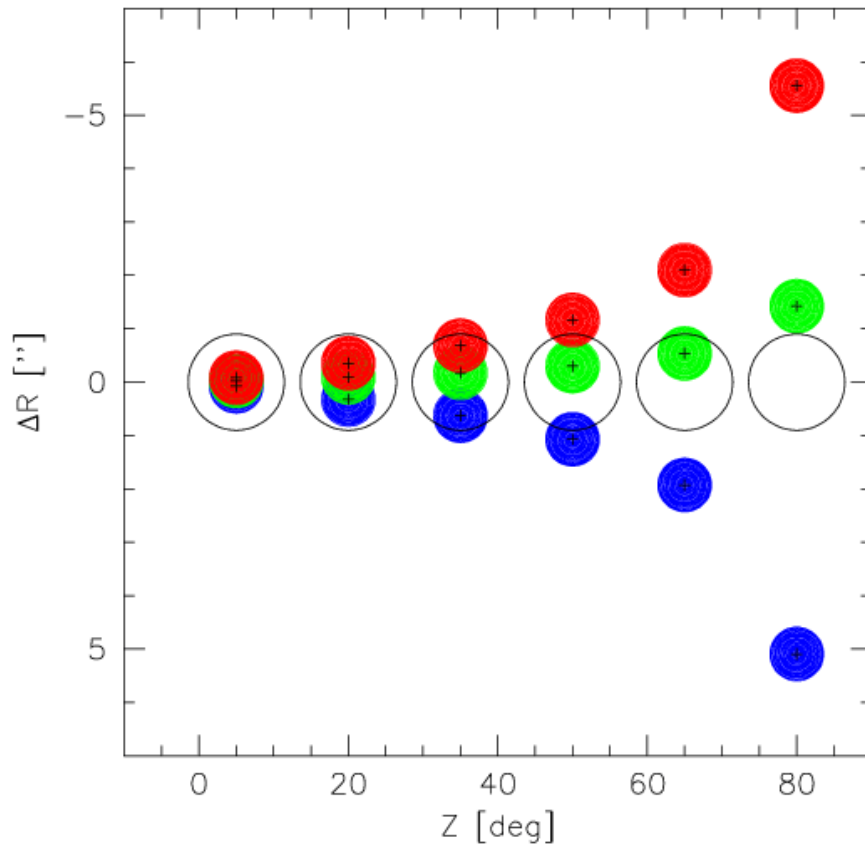
index of refraction

zenith distance



@X=1.5, 1.3" separation
between 350nm and 550nm

[H=30% (=> Pw=368.0849304 Po)] [P=77500 Po] [T=283.1499939 K] [$\lambda_w=450$ nm] [D(fib)=1.799999952 "] [1" FWHM]

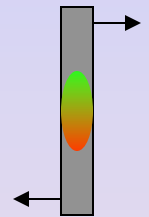
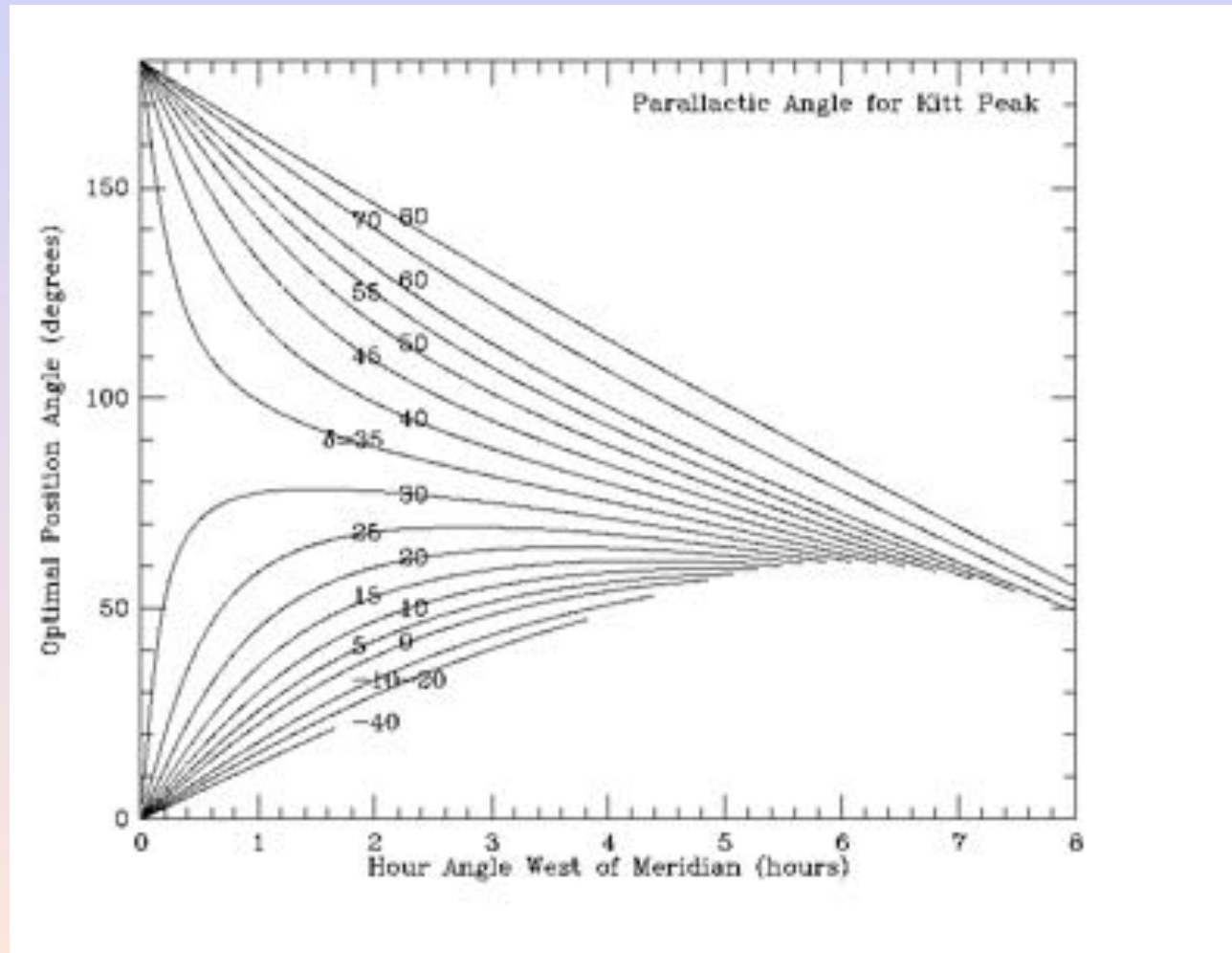


Two problems:

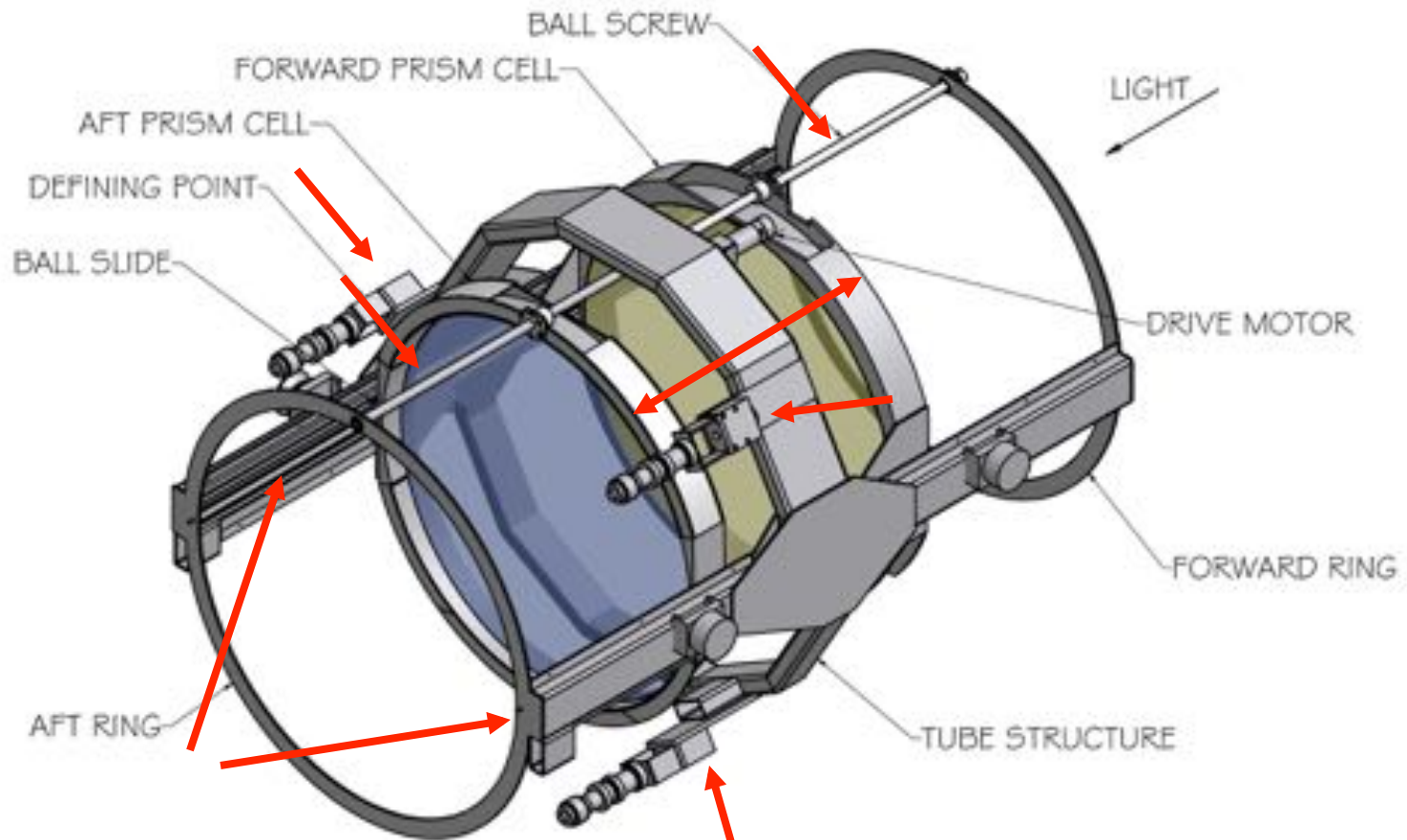
1) Preferentially lose red (or blue) light out of the slit.

2) If guiding on a particular wavelength of light, the object at other wavelengths will move out of the slit.

- Two solutions:
 - Align slit along the *parallactic angle*

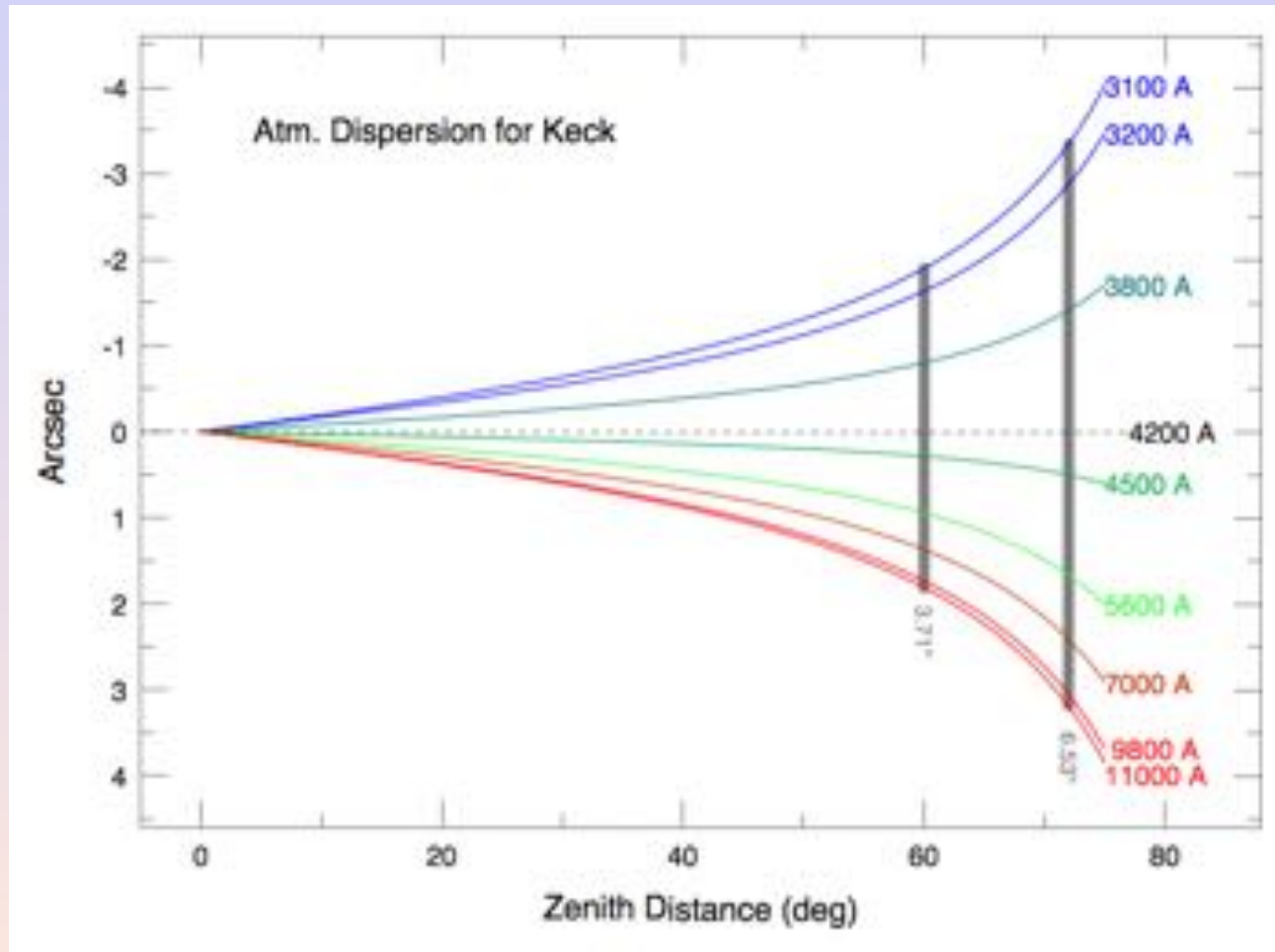


Build an ADC

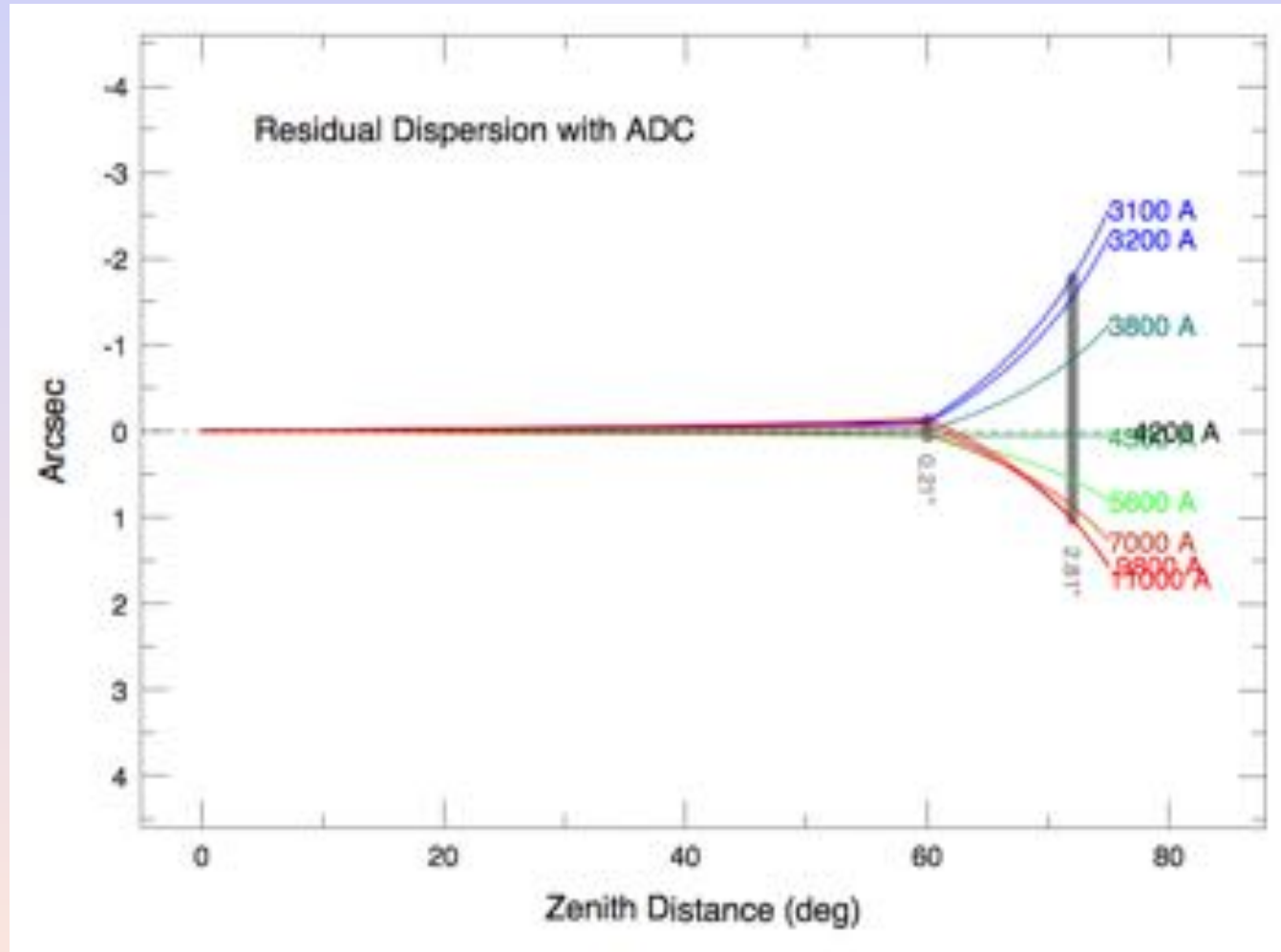


ADC WITHOUT CLADDING OR COVERS

Uncorrected



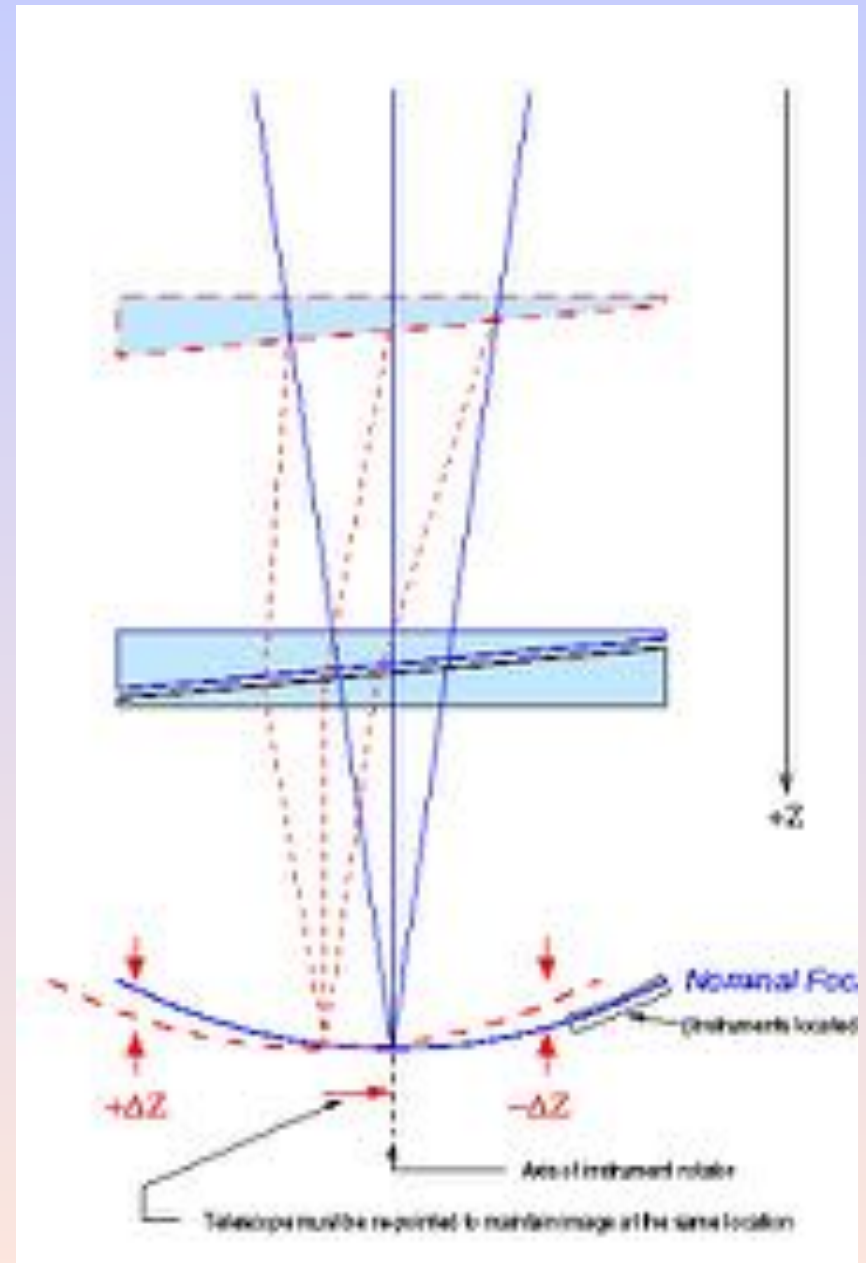
Corrected with ADC

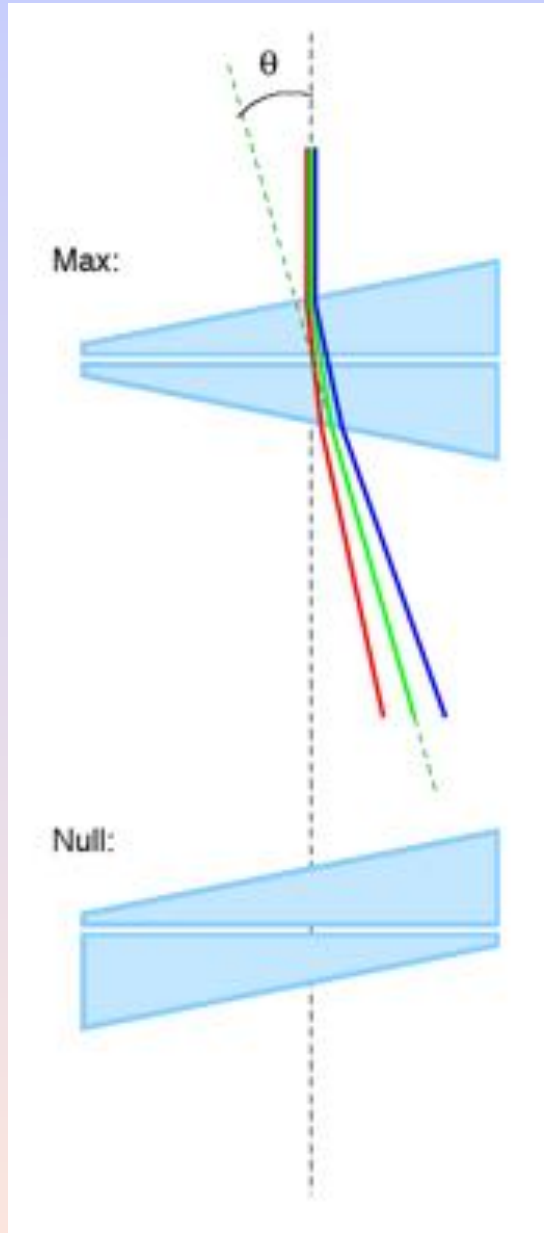
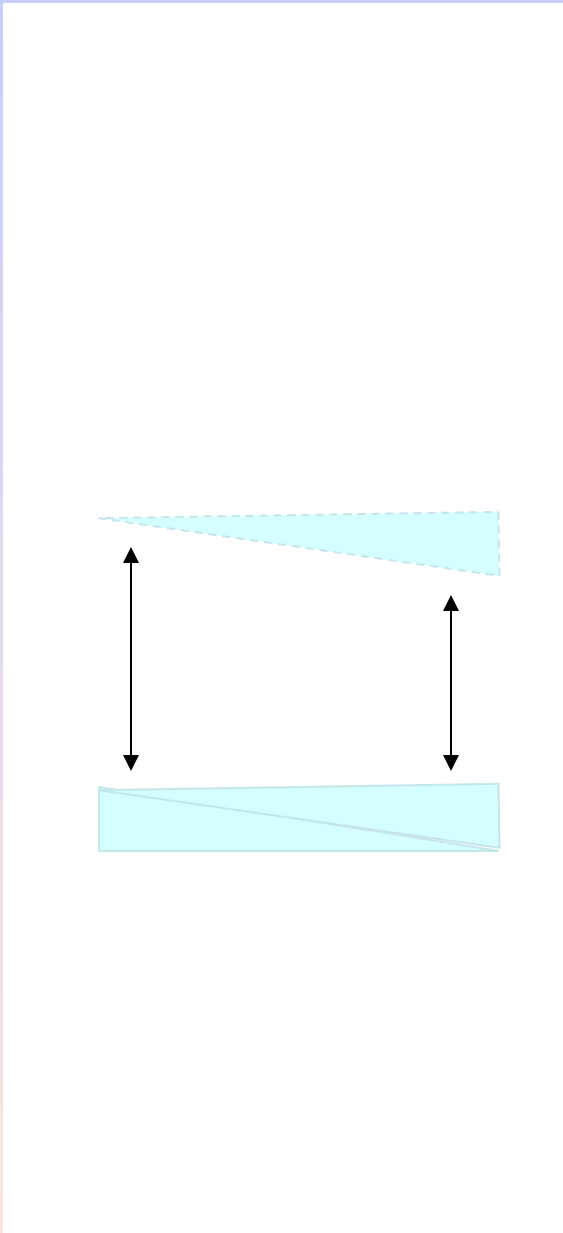


Linear ADC

LADC displaces focus:

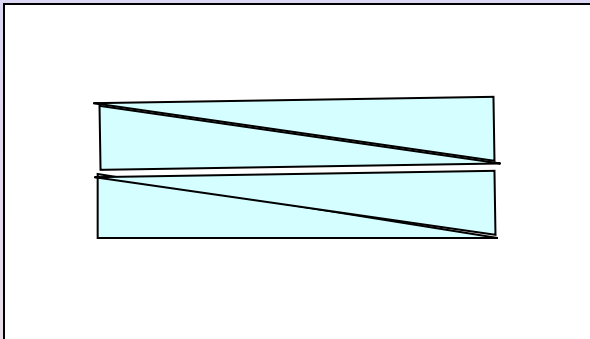
- Must repoint telescope
- Tilted focal surface -- must refocus telescope for prism separation and rotator angle
- Possible changes in vignetting
- Displaced pupil at grating (barely OK)
- Must oversize/displace prisms to minimize clear aperture



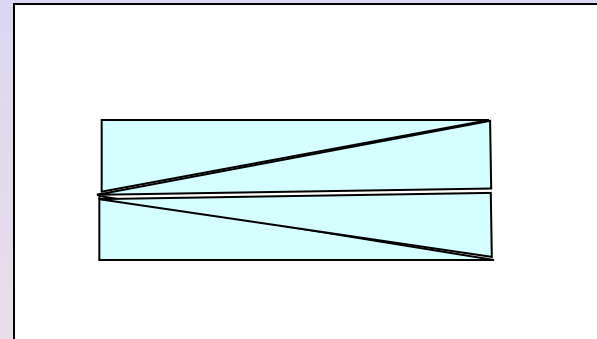


Risely and Amici style ADC's

Maximum dispersion



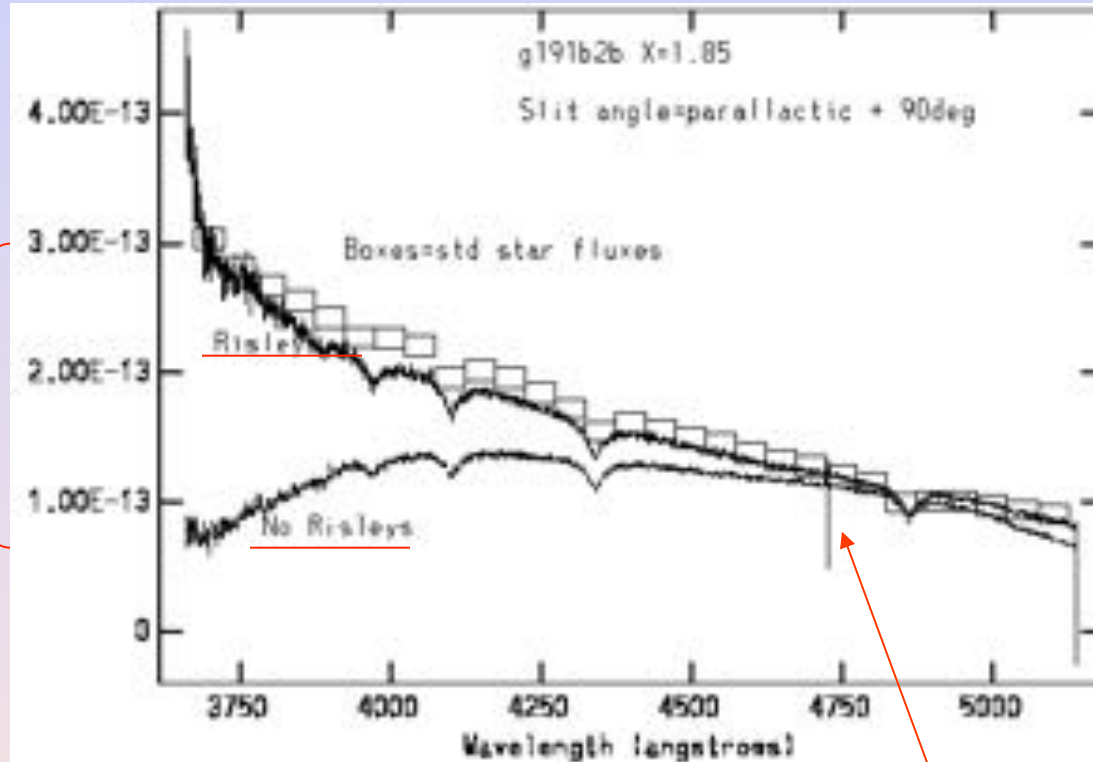
Zero dispersion



Pairs rotate depending on ZD

What's needed for SALT?

Factor
of 3+



KPNO 4m rotating 'Risley' prisms.

Central guider
wavelength